

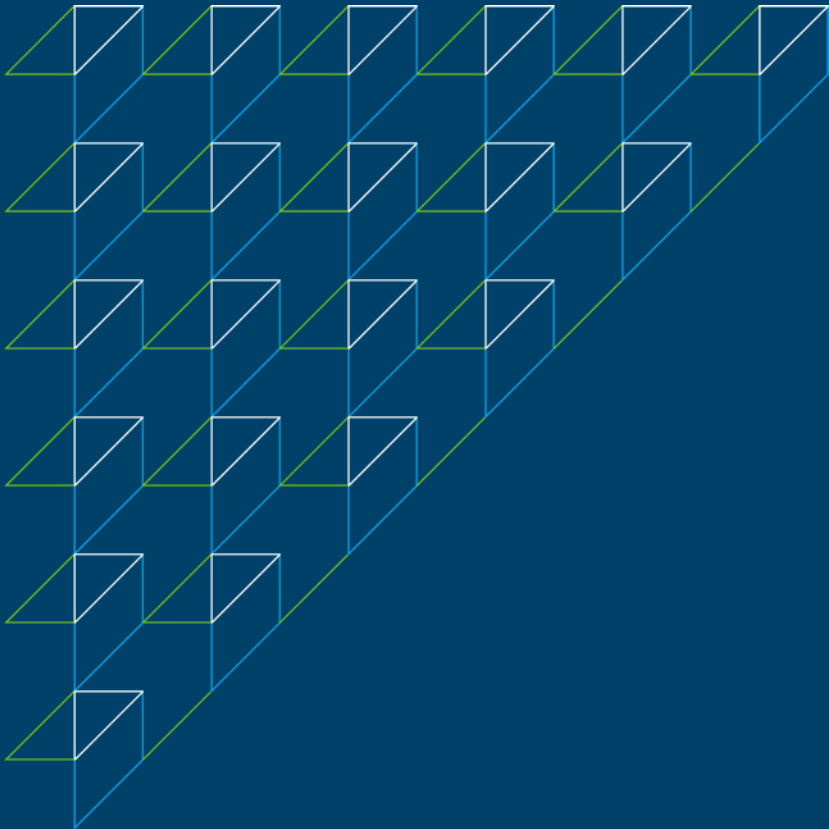
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 22 November 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

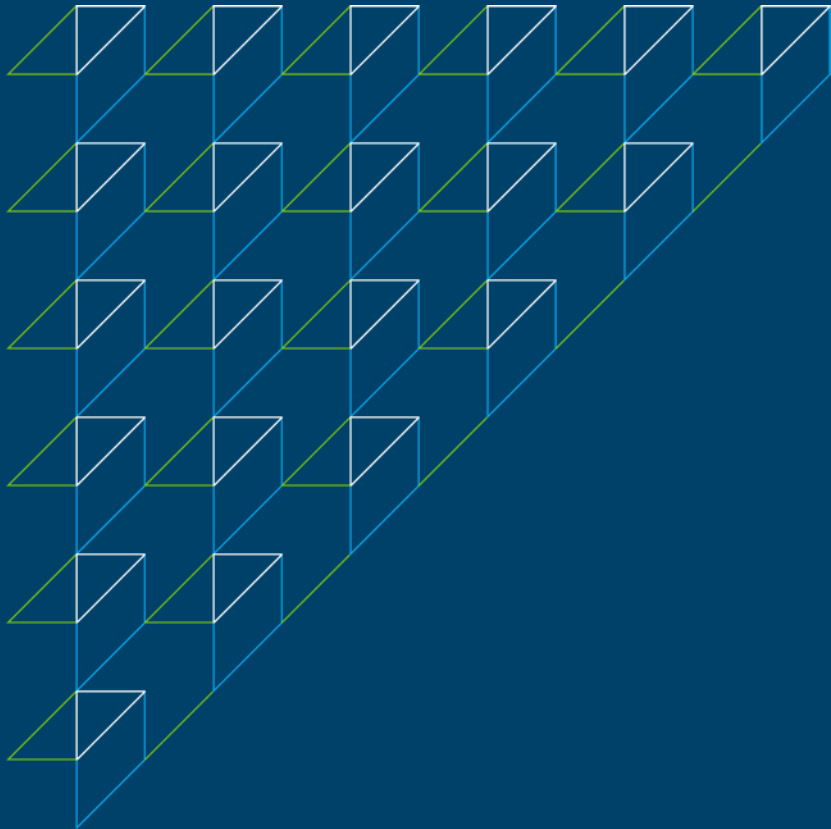
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,751,626,558	41.73%	6,521,263,600	47.32%	-769,637,042	-5.58%
	HNWIs	4,317,339,268	31.33%	4,082,653,326	29.62%	234,685,943	1.70%
	IPIs	498,022,023	3.61%	528,654,997	3.84%	-30,632,974	-0.22%
	Individual DPMs	91,612,338	0.66%	151,297,546	1.10%	-59,685,208	-0.43%
	Sub Total (Individuals)	10,658,600,187	77.34%	11,283,869,469	81.87%	-625,269,281	-4.54%
	Institutions:						
	Corporates	1,447,638,803	10.50%	359,225,920	2.61%	1,088,412,883	7.90%
	Mutual Funds	200,584,346	1.46%	197,046,343	1.43%	3,538,003	0.03%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	295,344,642	2.14%	627,365,139	4.55%	-332,020,497	-2.41%
	Sub Total (Institutions)	1,943,567,791	14.10%	1,183,637,402	8.59%	759,930,389	5.51%
Total Saudi Investors	12,602,167,978	91.44%	12,467,506,871	90.46%	134,661,108	0.98%	
GCC	Individuals	22,841,537	0.17%	40,780,192	0.30%	-17,938,655	-0.13%
	Institutions	259,480,755	1.88%	199,036,632	1.44%	60,444,123	0.44%
	GCC DPMs	1,357,266	0.01%	1,230,484	0.01%	126,783	0.00%
	Total GCC Investors	283,679,558	2.06%	241,047,307	1.75%	42,632,251	0.31%
Foreign	SWAP Holders	96,686,814	0.70%	207,764,101	1.51%	-111,077,287	-0.81%
	Foreign Residents	166,521,848	1.21%	181,361,761	1.32%	-14,839,913	-0.11%
	QFIs	632,704,941	4.59%	684,185,812	4.96%	-51,480,871	-0.37%
	Foreign DPMs	186,369	0.00%	81,656	0.00%	104,713	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	896,099,972	6.50%	1,073,393,330	7.79%	-177,293,359	-1.29%	
Grand Total		13,781,947,508	100.00%	13,781,947,508	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,523,618,298	25.57%	2,955,888,630	21.45%	567,729,668	4.12%
Non-Institutional	10,258,329,210	74.43%	10,826,058,878	78.55%	-567,729,668	-4.12%
Grand Total	13,781,947,508	100.00%	13,781,947,508	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 15 November 2018		As of 22 November 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	72,322,332,983	3.98%	72,070,831,408	4.00%	-251,501,574	0.02%	
	HNWIs	141,046,894,967	7.76%	140,321,746,444	7.80%	-725,148,523	0.03%	
	IPIs	246,921,696,499	13.59%	245,315,225,617	13.63%	-1,606,470,883	0.03%	
	Individual DPMs	4,987,622,271	0.27%	4,996,639,945	0.28%	9,017,673	0.00%	
	Sub Total (Individuals)	465,278,546,720	25.61%	462,704,443,413	25.70%	-2,574,103,307	0.09%	
	Institutions:							
	Corporates	281,568,020,929	15.50%	280,482,024,002	15.58%	-1,085,996,927	0.08%	
	Mutual Funds	87,682,743,317	4.83%	87,216,522,742	4.85%	-466,220,575	0.02%	
	GRE's	731,009,856,307	40.24%	721,991,764,192	40.11%	-9,018,092,115	-0.13%	
	Institution DPMs	128,675,764,429	7.08%	127,548,875,611	7.09%	-1,126,888,818	0.00%	
	Sub Total (Institutions)	1,228,936,384,982	67.65%	1,217,239,186,547	67.62%	-11,697,198,435	-0.03%	
	Total Saudi Investors	1,694,214,931,702	93.27%	1,679,943,629,960	93.33%	-14,271,301,742	0.06%	
GCC	Individuals	2,840,125,950	0.16%	2,787,429,945	0.15%	-52,696,005	0.00%	
	Institutions	32,645,273,841	1.80%	32,277,703,841	1.79%	-367,570,000	0.00%	
	GCC DPMs	817,922,915	0.05%	816,172,479	0.05%	-1,750,435	0.00%	
	Total GCC Investors	36,303,322,705	2.00%	35,881,306,265	1.99%	-422,016,440	-0.01%	
Foreign	SWAP Holders	9,273,849,400	0.51%	9,212,318,348	0.51%	-61,531,052	0.00%	
	Foreign Residents	3,191,124,635	0.18%	3,179,438,221	0.18%	-11,686,414	0.00%	
	QFIs	13,107,255,152	0.72%	12,426,965,057	0.69%	-680,290,095	-0.03%	
	Foreign DPMs	3,551,788,085	0.20%	3,647,256,529	0.20%	95,468,444	0.01%	
	Strategic Partners	56,865,607,584	3.13%	55,805,698,426	3.10%	-1,059,909,158	-0.03%	
Total Foreign Investors	85,989,624,856	4.73%	84,271,676,581	4.68%	-1,717,948,275	-0.05%		
Grand Total		1,816,507,879,263	100.00%	1,800,096,612,806	100.00%	-16,411,266,457		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 15 November 2018		As of 22 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,597,107,400,728	87.92%	1,581,737,166,788	87.87%	-15,370,233,940	-0.05%
Non-Institutional	219,400,478,535	12.08%	218,359,446,018	12.13%	-1,041,032,517	0.05%
Grand Total	1,816,507,879,263	100.00%	1,800,096,612,806	100.00%	-16,411,266,457	

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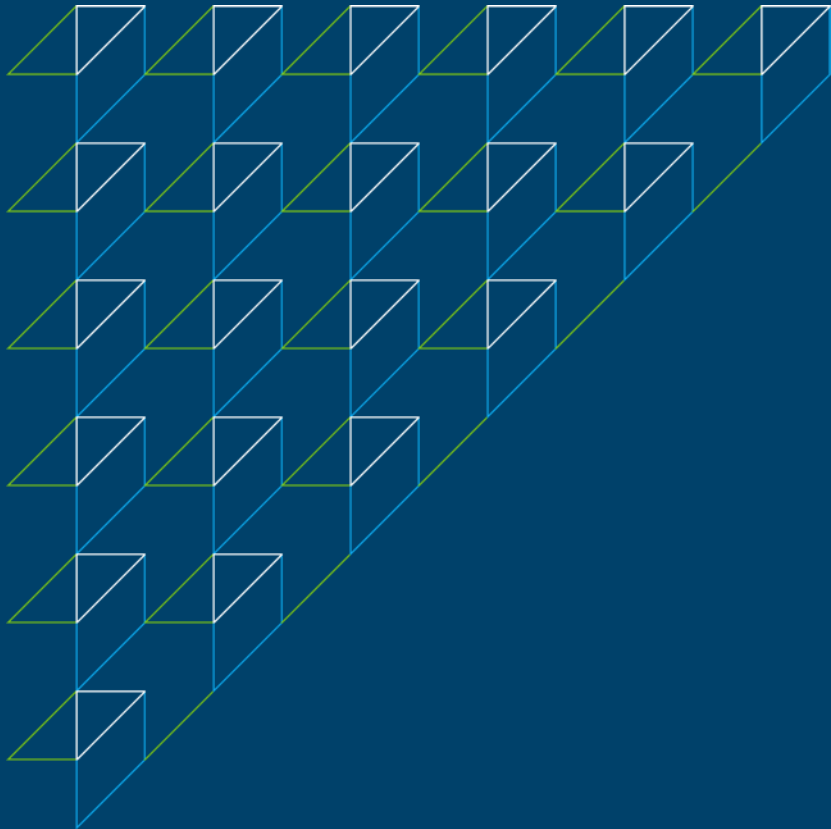
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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	2,851,367	95.84%	2,541,203	85.42%	310,164	10.43%
	Corporates	42,697	1.44%	0	0.00%	42,697	1.44%
	Institution	11,887	0.40%	402,840	13.54%	-390,953	-13.14%
	Total Saudi Investors	2,905,950	97.68%	2,944,042	98.96%	-38,092	-1.28%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	26,000	0.87%	-26,000	-0.87%
	Total GCC Investors	0	0.00%	26,000	0.87%	-26,000	-0.87%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	3,200	0.11%	5,066	0.17%	-1,865	-0.06%
	QFIs	65,957	2.22%	0	0.00%	65,957	2.22%
	Total Foreign Investors	69,158	2.32%	5,066	0.17%	64,092	2.15%
Grand Total		2,975,108	100.00%	2,975,108	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	120,541	4.05%	428,840	14.41%	-308,299	-10.36%
Non-Institutional	2,854,567	95.95%	2,546,268	85.59%	308,299	10.36%
Grand Total	2,975,108	100.00%	2,975,108	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 15 November 2018		As of 22 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,927,744,272	91.66%	1,940,508,952	91.69%	12,764,680	0.03%
	Corporates	112,347,525	5.34%	113,259,618	5.35%	912,093	0.01%
	Institution	35,836,543	1.70%	35,275,003	1.67%	-561,540	-0.04%
	Total Saudi Investors	2,075,928,340	98.71%	2,089,043,573	98.71%	13,115,233	0.00%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	13,400,185	0.64%	13,374,385	0.63%	-25,800	-0.01%
	Total GCC Investors	13,400,185	0.64%	13,374,385	0.63%	-25,800	-0.01%
Foreign	SWAP Holders	156,988	0.01%	182,168	0.01%	25,180	0.00%
	Foreign Residents	2,143,603	0.10%	2,147,636	0.10%	4,033	0.00%
	QFIs	11,491,885	0.55%	11,568,439	0.55%	76,554	0.00%
	Total Foreign Investors	13,792,475	0.66%	13,898,243	0.66%	105,767	0.00%
Grand Total		2,103,121,000	100.00%	2,116,316,200	100.00%	13,195,200	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 15 November 2018		As of 22 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	173,233,124	8.24%	173,659,612	8.21%	426,488	-0.03%
Non-Institutional	1,929,887,876	91.76%	1,942,656,588	91.79%	12,768,712	0.03%
Grand Total	2,103,121,000	100.00%	2,116,316,200	100.00%	13,195,200	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

