

Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Week Ending 11 November 2021



Definitions

Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	12,978,529,754	42.47%	12,656,263,129	41.42%	322,266,625	1.05%	
	HNWIs	9,030,885,257	29.55%	9,380,422,782	30.70%	-349,537,525	-1.14%	
	IPIs	1,732,032,026	5.67%	1,499,281,265	4.91%	232,750,762	0.76%	
	Individual DPMs	465,575,615	1.52%	583,949,135	1.91%	-118,373,520	-0.39%	
	Sub Total (Individuals)	24,207,022,652	79.21%	24,119,916,311	78.93%	87,106,341	0.29%	
	Institutions:							
	Corporates	1,209,486,696	3.96%	1,362,982,485	4.46%	-153,495,789	-0.50%	
	Mutual Funds	1,008,649,734	3.30%	1,108,712,419	3.63%	-100,062,684	-0.33%	
	GRE's	23,814,708	0.08%	32,463,501	0.11%	-8,648,793	-0.03%	
	Institution DPMs	484,435,707	1.59%	796,638,804	2.61%	-312,203,097	-1.02%	
	Sub Total (Institutions)	2,726,386,845	8.92%	3,300,797,208	10.80%	-574,410,363	-1.88%	
Total Saudi Investors	26,933,409,497	88.14%	27,420,713,519	89.73%	-487,304,022	-1.59%		
GCC	Individuals	72,380,671	0.24%	115,541,574	0.38%	-43,160,903	-0.14%	
	Institutions	389,549,921	1.27%	353,323,736	1.16%	36,226,185	0.12%	
	GCC DPMs	823,990	0.00%	1,552,818	0.01%	-728,828	0.00%	
	Total GCC Investors	462,754,582	1.51%	470,418,128	1.54%	-7,663,546	-0.03%	
Foreign	SWAP Holders	11,477,764	0.04%	6,853,488	0.02%	4,624,276	0.02%	
	Foreign Residents & Others	450,630,349	1.47%	438,953,692	1.44%	11,676,657	0.04%	
	QFIs	2,700,886,327	8.84%	2,221,855,688	7.27%	479,030,639	1.57%	
	Foreign DPMs	0	0.00%	364,004	0.00%	-364,004	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
Total Foreign Investors	3,162,994,440	10.35%	2,668,026,872	8.73%	494,967,568	1.62%		
Grand Total		30,559,158,519	100.00%	30,559,158,519	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,026,732,488	26.27%	7,967,977,342	26.07%	58,755,146	0.19%
Non-Institutional	22,532,426,031	73.73%	22,591,181,177	73.93%	-58,755,146	-0.19%
Grand Total	30,559,158,519	100.00%	30,559,158,519	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 04 November 2021			As of 11 November 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	155,706,013,512	1.48%	8.83%	156,323,663,415	1.49%	8.76%	617,649,903	0.01%	-0.07%
	HNWIs	250,658,330,390	2.38%	14.05%	250,722,358,375	2.39%	13.90%	64,027,985	0.01%	-0.15%
	IPIs	411,099,054,707	3.91%	17.04%	414,954,364,872	3.96%	17.09%	3,855,310,164	0.05%	0.05%
	Individual DPMs	9,618,702,608	0.09%	0.55%	9,660,517,347	0.09%	0.54%	41,814,739	0.00%	0.00%
	Sub Total (Individuals)	827,082,101,217	7.86%	40.47%	831,660,904,009	7.93%	40.29%	4,578,802,791	0.07%	-0.18%
	Institutions:									
	Corporates	888,752,175,429	8.45%	23.89%	899,187,744,406	8.57%	23.98%	10,435,568,977	0.12%	0.09%
	Mutual Funds	288,009,245,483	2.74%	11.37%	286,310,639,212	2.73%	11.42%	-1,698,606,271	-0.01%	0.05%
	GRE's	8,069,168,464,715	76.70%	2.44%	8,018,868,423,785	76.44%	2.38%	-50,300,040,929	-0.26%	-0.05%
	Institution DPMs	85,515,375,722	0.81%	4.84%	86,161,340,815	0.82%	4.82%	645,965,093	0.01%	-0.02%
	Sub Total (Institutions)	9,331,445,261,348	88.70%	42.53%	9,290,528,148,217	88.56%	42.60%	-40,917,113,131	-0.14%	0.07%
Total Saudi Investors	10,158,527,362,565	96.56%	83.00%	10,122,189,052,226	96.49%	82.89%	-36,338,310,339	-0.07%	-0.11%	
GCC	Individuals	3,473,282,634	0.03%	0.20%	3,397,555,313	0.03%	0.19%	-75,727,321	0.00%	-0.01%
	Institutions	47,797,022,453	0.45%	1.93%	47,501,461,308	0.45%	1.91%	-295,561,145	0.00%	-0.02%
	GCC DPMs	180,797,036	0.00%	0.01%	182,648,865	0.00%	0.01%	1,851,829	0.00%	0.00%
	Total GCC Investors	51,451,102,123	0.49%	2.14%	51,081,665,486	0.49%	2.12%	-369,436,637	0.00%	-0.02%
Foreign	SWAP Holders	2,301,325,669	0.02%	0.13%	2,297,464,024	0.02%	0.13%	-3,861,646	0.00%	0.00%
	Foreign Residents & Others	7,728,924,218	0.07%	0.36%	7,720,954,992	0.07%	0.36%	-7,969,226	0.00%	0.00%
	QFIs	248,098,639,204	2.36%	14.37%	253,743,914,032	2.42%	14.50%	5,645,274,828	0.06%	0.14%
	Foreign DPMs	23,759,927	0.00%	0.00%	23,273,901	0.00%	0.00%	-486,026	0.00%	0.00%
	Strategic Investors	52,474,922,752	0.50%	0.00%	53,696,830,138	0.51%	0.00%	1,221,907,386	0.01%	0.00%
	Total Foreign Investors	310,627,571,770	2.95%	14.86%	317,482,437,087	3.03%	15.00%	6,854,865,317	0.07%	0.13%
Grand Total		10,520,606,036,458	100.00%	100.00%	10,490,753,154,799	100.00%	100.00%	-29,852,881,659		

*Non deposited shares was not reflected as on 11 November holding value (The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action -Right Issue)

*Non deposited shares was not reflected as on 11 November holding value (Alkhaleej Training and Education Co. 4290 - Corporate Action -Right Issue)

*Non deposited shares was not reflected as on 11 November holding value (Naseej International Trading Co. 1213 - Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 04 November 2021			As of 11 November 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	10,104,553,983,096	96.03%	76.56%	10,074,070,839,349	96.01%	76.79%	-30,483,143,747	-0.02%	0.23%
Non-Institutional	416,052,053,362	3.97%	23.44%	416,682,315,450	3.99%	23.21%	630,262,088	0.02%	-0.23%
Grand Total	10,520,606,036,458	100.00%	100.00%	10,490,753,154,799	100.00%	100.00%	-29,852,881,659		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	319,918,183	94.62%	313,045,174	92.59%	6,873,009	2.03%
	Corporates	2,075,681	0.61%	9,933,937	2.94%	-7,858,256	-2.32%
	Institution	290	0.00%	2,863,642	0.85%	-2,863,352	-0.85%
	Total Saudi Investors	321,994,153	95.23%	325,842,753	96.37%	-3,848,600	-1.14%
GCC	Individuals	1,017,421	0.30%	860,144	0.25%	157,277	0.05%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	1,017,421	0.30%	860,144	0.25%	157,277	0.05%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	6,264,861	1.85%	6,885,432	2.04%	-620,570	-0.18%
	QFIs	8,834,225	2.61%	4,522,332	1.34%	4,311,893	1.28%
	Total Foreign Investors	15,099,087	4.47%	11,407,764	3.37%	3,691,323	1.09%
Grand Total		338,110,661	100.00%	338,110,661	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	10,910,196	3.23%	17,319,911	5.12%	-6,409,716	-1.90%
Non-Institutional	327,200,466	96.77%	320,790,750	94.88%	6,409,715	1.90%
Grand Total	338,110,661	100.00%	338,110,661	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 04 November 2021			As of 11 November 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	13,042,566,572	73.16%	67.68%	13,839,020,483	76.51%	71.75%	796,453,911	3.35%	4.07%
	Corporates	4,556,820,073	25.56%	30.14%	4,014,081,605	22.19%	26.02%	-542,738,468	-3.37%	-4.12%
	Institution	116,736,778	0.65%	0.77%	119,205,869	0.66%	0.77%	2,469,091	0.00%	0.00%
	Total Saudi Investors	17,716,123,423	99.37%	98.59%	17,972,307,957	99.36%	98.54%	256,184,534	-0.01%	-0.04%
GCC	Individuals	4,460,666	0.03%	0.06%	4,418,798	0.02%	0.06%	-41,868	0.00%	0.00%
	Institutions	21,522,935	0.12%	0.27%	21,812,924	0.12%	0.28%	289,989	0.00%	0.00%
	Total GCC Investors	25,983,601	0.15%	0.33%	26,231,723	0.15%	0.33%	248,122	0.00%	0.00%
Foreign	SWAP Holders	17,192,299	0.10%	0.22%	17,525,542	0.10%	0.22%	333,243	0.00%	0.00%
	Foreign Residents	14,938,883	0.08%	0.19%	15,770,875	0.09%	0.20%	831,992	0.00%	0.01%
	QFIs	53,454,795	0.30%	0.68%	55,773,904	0.31%	0.70%	2,319,109	0.01%	0.03%
	Total Foreign Investors	85,585,977	0.48%	1.09%	89,070,321	0.49%	1.12%	3,484,344	0.01%	0.04%
Grand Total		17,827,693,000	100.00%	100.00%	18,087,610,000	100.00%	100.00%	259,917,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 04 November 2021			As of 11 November 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,765,726,880	26.73%	32.08%	4,228,399,844	23.38%	27.99%	-537,327,035	-3.35%	-4.08%
Non-Institutional	13,061,966,120	73.27%	67.92%	13,859,210,156	76.62%	72.01%	797,244,035	3.35%	4.08%
Grand Total	17,827,693,000	100.00%	100.00%	18,087,610,000	100.00%	100.00%	259,917,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you