

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 28 December 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	8,560,259,848	45.33%	10,104,761,538	53.51%	-1,544,501,691	-8.18%
	HNWIs	5,838,730,068	30.92%	5,976,509,156	31.65%	-137,779,088	-0.73%
	IPIs	703,221,713	3.72%	473,313,999	2.51%	229,907,714	1.22%
	Individual DPMs	118,816,804	0.63%	114,621,945	0.61%	4,194,858	0.02%
	Sub Total (Individuals)	15,221,028,433	80.61%	16,669,206,639	88.28%	-1,448,178,206	-7.67%
	Institutions:						
	Corporates	2,003,936,728	10.61%	695,013,666	3.68%	1,308,923,063	6.93%
	Mutual Funds	578,673,690	3.06%	421,438,753	2.23%	157,234,937	0.83%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	201,260,750	1.07%	203,884,952	1.08%	-2,624,202	-0.01%
	Sub Total (Institutions)	2,783,871,168	14.74%	1,320,337,371	6.99%	1,463,533,797	7.75%
Total Saudi Investors	18,004,899,601	95.35%	17,989,544,010	95.27%	15,355,591	0.08%	
GCC	Individuals	23,366,826	0.12%	72,927,124	0.39%	-49,560,297	-0.26%
	Institutions	402,286,694	2.13%	148,469,379	0.79%	253,817,315	1.34%
	GCC DPMs	919,381	0.00%	3,574,549	0.02%	-2,655,168	-0.01%
	Total GCC Investors	426,572,902	2.26%	224,971,052	1.19%	201,601,850	1.07%
Foreign	SWAP Holders	63,583,805	0.34%	255,552,630	1.35%	-191,968,826	-1.02%
	Foreign Residents	286,990,821	1.52%	315,896,754	1.67%	-28,905,934	-0.15%
	QFIs	94,617,661	0.50%	96,451,700	0.51%	-1,834,038	-0.01%
	Foreign DPMs	5,751,357	0.03%	0	0.00%	5,751,357	0.03%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	450,943,643	2.39%	667,901,084	3.54%	-216,957,441	-1.15%	
Grand Total		18,882,416,146	100.00%	18,882,416,146	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,173,068,583	22.10%	2,412,321,574	12.78%	1,760,747,009	9.32%
Non-Institutional	14,709,347,563	77.90%	16,470,094,572	87.22%	-1,760,747,009	-9.32%
Grand Total	18,882,416,146	100.00%	18,882,416,146	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 21 December 2017		As of 28 December 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	74,005,858,308	4.39%	74,806,982,389	4.43%	801,124,081	0.04%
	HNWIs	139,716,906,113	8.28%	140,734,244,456	8.33%	1,017,338,343	0.05%
	IPIs	243,296,887,558	14.43%	243,413,674,020	14.41%	116,786,462	-0.01%
	Individual DPMs	4,418,671,983	0.26%	4,464,528,891	0.26%	45,856,908	0.00%
	Sub Total (Individuals)	461,438,323,961	27.36%	463,419,429,756	27.44%	1,981,105,794	0.08%
	Institutions:						
	Corporates	274,825,761,919	16.30%	273,047,947,063	16.17%	-1,777,814,857	-0.13%
	Mutual Funds	164,517,274,230	9.75%	163,434,156,937	9.68%	-1,083,117,293	-0.08%
	GRE's	630,214,089,286	37.37%	633,715,070,344	37.52%	3,500,981,058	0.16%
	Institution DPMs	49,042,725,974	2.91%	48,920,345,650	2.90%	-122,380,325	-0.01%
	Sub Total (Institutions)	1,118,599,851,410	66.33%	1,119,117,519,993	66.27%	517,668,583	-0.06%
Total Saudi Investors	1,580,038,175,371	93.69%	1,582,536,949,748	93.71%	2,498,774,377	0.02%	
GCC	Individuals	2,836,407,067	0.17%	2,807,665,991	0.17%	-28,741,076	0.00%
	Institutions	31,466,026,284	1.87%	32,060,886,836	1.90%	594,860,552	0.03%
	GCC DPMs	549,827,119	0.03%	558,265,206	0.03%	8,438,087	0.00%
	Total GCC Investors	34,852,260,471	2.07%	35,426,818,034	2.10%	574,557,563	0.03%
Foreign	SWAP Holders	8,670,624,246	0.51%	8,571,097,983	0.51%	-99,526,263	-0.01%
	Foreign Residents	4,194,986,692	0.25%	4,168,629,517	0.25%	-26,357,176	0.00%
	QFIs	7,002,543,326	0.42%	6,940,473,979	0.41%	-62,069,347	0.00%
	Foreign DPMs	3,121,610,822	0.19%	3,141,868,733	0.19%	20,257,912	0.00%
	Strategic Partners	48,611,980,760	2.88%	48,039,713,530	2.84%	-572,267,229	-0.04%
	Total Foreign Investors	71,601,745,846	4.25%	70,861,783,742	4.20%	-739,962,104	-0.05%
Grand Total		1,686,492,181,688	100.00%	1,688,825,551,524	100.00%	2,333,369,836	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 21 December 2017		As of 28 December 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,465,738,023,508	86.91%	1,466,308,029,171	86.82%	570,005,664	-0.09%
Non-Institutional	220,754,158,180	13.09%	222,517,522,353	13.18%	1,763,364,172	0.09%
Grand Total	1,686,492,181,688	100.00%	1,688,825,551,524	100.00%	2,333,369,836	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	19,611,055	92.80%	19,132,908	90.54%	478,148	2.26%
	Corporates	199,588	0.94%	0	0.00%	199,588	0.94%
	Institution	183,880	0.87%	1,561,809	7.39%	-1,377,929	-6.52%
	Total Saudi Investors	19,994,524	94.62%	20,694,717	97.93%	-700,193	-3.31%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	335,947	1.59%	437,295	2.07%	-101,348	-0.48%
	QFIs	801,541	3.79%	0	0.00%	801,541	3.79%
	Total Foreign Investors	1,137,488	5.38%	437,295	2.07%	700,193	3.31%
Grand Total		21,132,012	100.00%	21,132,012	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,185,009	5.61%	1,561,809	7.39%	-376,800	-1.78%
Non-Institutional	19,947,002	94.39%	19,570,202	92.61%	376,800	1.78%
Grand Total	21,132,012	100.00%	21,132,012	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 21 December 2017		As of 28 December 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,961,796,752	88.72%	2,013,243,177	88.75%	51,446,424	0.03%
	Corporates	116,882,403	5.29%	122,252,666	5.39%	5,370,263	0.10%
	Institution	111,802,024	5.06%	111,960,175	4.94%	158,151	-0.12%
	Total Saudi Investors	2,190,481,179	99.06%	2,247,456,018	99.07%	56,974,839	0.01%
GCC	Individuals	31,561	0.00%	32,195	0.00%	634	0.00%
	Institutions	13,465,718	0.61%	13,536,590	0.60%	70,872	-0.01%
	Total GCC Investors	13,497,279	0.61%	13,568,785	0.60%	71,506	-0.01%
Foreign	SWAP Holders	190,026	0.01%	215,536	0.01%	25,510	0.00%
	Foreign Residents	3,451,637	0.16%	3,298,879	0.15%	-152,758	-0.01%
	QFIs	3,590,779	0.16%	3,940,017	0.17%	349,239	0.01%
	Total Foreign Investors	7,232,442	0.33%	7,454,432	0.33%	221,990	0.00%
Grand Total		2,211,210,900	100.00%	2,268,479,235	100.00%	57,268,335	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 21 December 2017		As of 28 December 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	245,930,950	11.12%	251,904,985	11.10%	5,974,035	-0.02%
Non-Institutional	1,965,279,950	88.88%	2,016,574,250	88.90%	51,294,300	0.02%
Grand Total	2,211,210,900	100.00%	2,268,479,235	100.00%	57,268,335	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

