

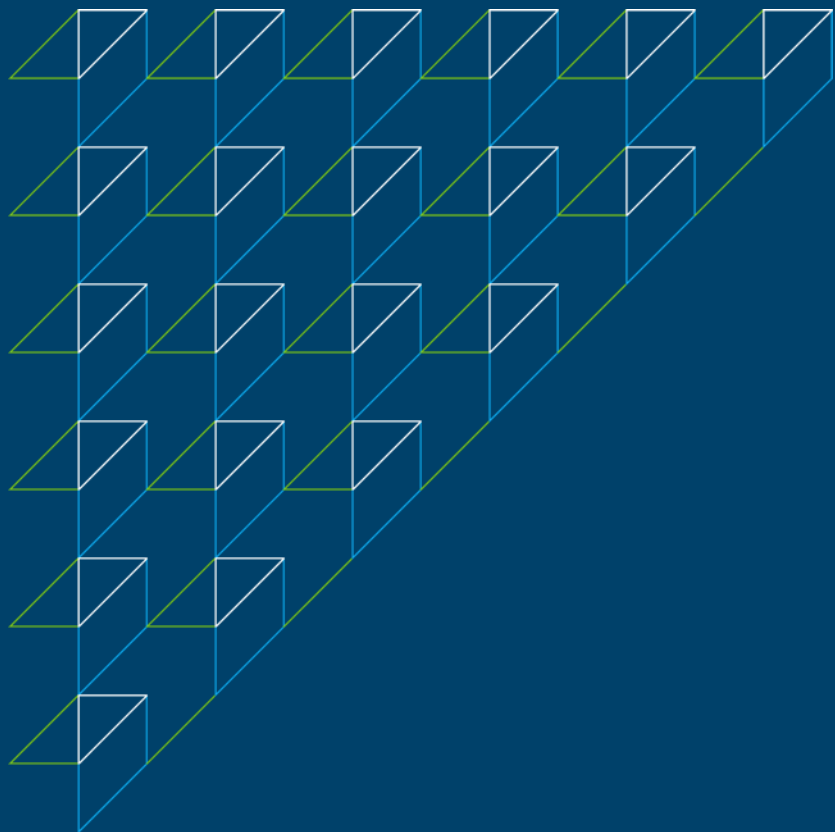
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 6 September 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,115,586,429	35.52%	6,236,235,650	43.30%	-1,120,649,221	-7.78%
	HNWIs	4,713,099,843	32.73%	4,558,158,985	31.65%	154,940,858	1.08%
	IPIs	473,105,274	3.29%	507,047,133	3.52%	-33,941,859	-0.24%
	Individual DPMs	154,831,186	1.08%	146,720,782	1.02%	8,110,404	0.06%
	Sub Total (Individuals)	10,456,622,732	72.61%	11,448,162,550	79.49%	-991,539,819	-6.88%
	Institutions:						
	Corporates	1,945,362,662	13.51%	322,155,671	2.24%	1,623,206,991	11.27%
	Mutual Funds	285,882,187	1.99%	214,303,483	1.49%	71,578,704	0.50%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	230,909,359	1.60%	744,955,968	5.17%	-514,046,610	-3.57%
	Sub Total (Institutions)	2,462,154,207	17.10%	1,281,415,122	8.90%	1,180,739,085	8.20%
Total Saudi Investors	12,918,776,938	89.70%	12,729,577,672	88.39%	189,199,266	1.31%	
GCC	Individuals	97,763,683	0.68%	49,883,385	0.35%	47,880,297	0.33%
	Institutions	377,592,180	2.62%	362,293,461	2.52%	15,298,719	0.11%
	GCC DPMs	1,829,489	0.01%	76,689	0.00%	1,752,800	0.01%
	Total GCC Investors	477,185,352	3.31%	412,253,536	2.86%	64,931,816	0.45%
Foreign	SWAP Holders	217,835,840	1.51%	515,641,215	3.58%	-297,805,376	-2.07%
	Foreign Residents	152,754,827	1.06%	230,258,695	1.60%	-77,503,868	-0.54%
	QFIs	635,017,426	4.41%	513,737,710	3.57%	121,279,717	0.84%
	Foreign DPMs	267,169	0.00%	290,014	0.00%	-22,845	0.00%
	Strategic Partners	0	0.00%	78,710	0.00%	-78,710	0.00%
	Total Foreign Investors	1,005,875,262	6.98%	1,260,006,344	8.75%	-254,131,082	-1.76%
Grand Total		14,401,837,552	100.00%	14,401,837,552	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,322,632,771	30.01%	3,327,300,837	23.10%	995,331,934	6.91%
Non-Institutional	10,079,204,781	69.99%	11,074,536,715	76.90%	-995,331,934	-6.91%
Grand Total	14,401,837,552	100.00%	14,401,837,552	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 August 2018		As of 06 September 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	76,650,865,434	4.05%	72,265,279,354	3.96%	-4,385,586,080	-0.09%
	HNWIs	150,856,250,679	7.97%	144,275,587,596	7.91%	-6,580,663,083	-0.06%
	IPIs	258,591,203,357	13.66%	252,128,284,224	13.82%	-6,462,919,133	0.16%
	Individual DPMs	4,635,936,579	0.24%	5,472,078,837	0.30%	836,142,258	0.06%
	Sub Total (Individuals)	490,734,256,049	25.92%	474,141,230,011	25.98%	-16,593,026,038	0.06%
	Institutions:						
	Corporates	295,544,513,317	15.61%	288,433,034,526	15.81%	-7,111,478,791	0.20%
	Mutual Funds	166,793,509,155	8.81%	92,934,023,945	5.09%	-73,859,485,210	-3.72%
	GRE's	745,482,542,133	39.37%	711,400,903,248	38.98%	-34,081,638,885	-0.39%
	Institution DPMs	59,777,170,150	3.16%	126,313,847,659	6.92%	66,536,677,509	3.76%
	Sub Total (Institutions)	1,267,597,734,755	66.95%	1,219,081,809,378	66.81%	-48,515,925,377	-0.15%
Total Saudi Investors	1,758,331,990,804	92.87%	1,693,223,039,389	92.79%	-65,108,951,415	-0.08%	
GCC	Individuals	3,106,122,146	0.16%	3,005,382,158	0.16%	-100,739,989	0.00%
	Institutions	35,340,964,023	1.87%	33,954,442,242	1.86%	-1,386,521,782	-0.01%
	GCC DPMs	660,840,670	0.03%	809,302,070	0.04%	148,461,400	0.01%
	Total GCC Investors	39,107,926,840	2.07%	37,769,126,470	2.07%	-1,338,800,370	0.00%
Foreign	SWAP Holders	14,105,450,655	0.75%	13,557,538,212	0.74%	-547,912,443	0.00%
	Foreign Residents	3,713,319,908	0.20%	3,566,233,430	0.20%	-147,086,478	0.00%
	QFIs	18,700,652,473	0.99%	15,425,866,605	0.85%	-3,274,785,869	-0.14%
	Foreign DPMs	8,718,364	0.00%	2,870,724,714	0.16%	2,862,006,350	0.16%
	Strategic Partners	59,346,986,674	3.13%	58,414,480,395	3.20%	-932,506,279	0.07%
	Total Foreign Investors	95,875,128,074	5.06%	93,834,843,356	5.14%	-2,040,284,718	0.08%
Grand Total		1,893,315,045,718	100.00%	1,824,827,009,215	100.00%	-68,488,036,503	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 August 2018		As of 06 September 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,658,988,487,551	87.62%	1,601,714,526,677	87.77%	-57,273,960,874	0.15%
Non-Institutional	234,326,558,167	12.38%	223,112,482,538	12.23%	-11,214,075,629	-0.15%
Grand Total	1,893,315,045,718	100.00%	1,824,827,009,215	100.00%	-68,488,036,503	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	6,804,182	94.73%	6,187,040	86.14%	617,142	8.59%
	Corporates	0	0.00%	338,858	4.72%	-338,858	-4.72%
	Institution	0	0.00%	612,967	8.53%	-612,967	-8.53%
	Total Saudi Investors	6,804,182	94.73%	7,138,865	99.39%	-334,683	-4.66%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	74,400	1.04%	0	0.00%	74,400	1.04%
	Total GCC Investors	74,400	1.04%	0	0.00%	74,400	1.04%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	53,798	0.75%	43,514	0.61%	10,283	0.14%
	QFIs	250,000	3.48%	0	0.00%	250,000	3.48%
	Total Foreign Investors	303,798	4.23%	43,514	0.61%	260,283	3.62%
Grand Total		7,182,379	100.00%	7,182,379	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	324,400	4.52%	951,825	13.25%	-627,425	-8.74%
Non-Institutional	6,857,979	95.48%	6,230,554	86.75%	627,425	8.74%
Grand Total	7,182,379	100.00%	7,182,379	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 30 August 2018		As of 06 September 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,051,147,478	91.39%	2,051,147,478	92.12%	0	0.73%
	Corporates	107,696,917	4.80%	107,696,917	4.84%	0	0.04%
	Institution	60,768,297	2.71%	43,225,392	1.94%	-17,542,905	-0.77%
	Total Saudi Investors	2,219,612,692	98.90%	2,202,069,786	98.90%	-17,542,905	0.00%
GCC	Individuals	26,719	0.00%	25,223	0.00%	-1,496	0.00%
	Institutions	13,007,175	0.58%	12,977,518	0.58%	-29,657	0.00%
	Total GCC Investors	13,033,894	0.58%	13,002,741	0.58%	-31,153	0.00%
Foreign	SWAP Holders	977,944	0.04%	913,582	0.04%	-64,362	0.00%
	Foreign Residents	2,127,263	0.09%	1,982,657	0.09%	-144,606	-0.01%
	QFIs	8,659,747	0.39%	8,641,267	0.39%	-18,480	0.00%
	Total Foreign Investors	11,764,955	0.52%	11,537,506	0.52%	-227,449	-0.01%
Grand Total		2,244,411,540	100.00%	2,226,610,033	100.00%	-17,801,507	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 August 2018		As of 06 September 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	191,110,080	8.51%	173,454,675	7.79%	-17,655,405	-0.72%
Non-Institutional	2,053,301,460	91.49%	2,053,155,358	92.21%	-146,102	0.72%
Grand Total	2,244,411,540	100.00%	2,226,610,033	100.00%	-17,801,507	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

